

# SITUATION CALCULUS WITH ACTIONS AND OTHER EVENTS

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A slogan for AI: **Whatever a person can do, he should be able to make a computer do for him.**

Almost all of my papers are on the above-mentioned [page](#).

This lecture proposes **E**vents **P**rimarily **S**equential situation calculus, **EPS sitcalc** for short.

## SITUATION CALCULUS

- Proposed 1963 for formalizing effects of actions.
- Improved 2002 to include occurrence axioms.
- <http://www-formal.stanford.edu/jmc/sitcalc.html>

## ACTIONS ARE EVENTS

- In EPS situation calculus, events are primary and actions by actors are a kind of event. EPS is sequential.
- The logic is first order logic without causal operators. Second order formulas are used for circumscription.

- An *event*  $e$  has some *effect axioms* formalizing

$$\langle \text{preconditions} \rangle \rightarrow \text{Holds}(\text{fluent}, \text{Result}(e, s))$$

- An *internal event*  $e$  also has an *occurrence axiom*

$$\langle \text{preconditions} \rangle \rightarrow \text{Occurs}(e, s),$$

and an axiom for the *next* situation

$$\text{Occurs}(e, s) \rightarrow \text{Next}(s) = \text{Result}(e, s).$$

- Some phenomena previously axiomatized with *domain constraints* are often more accurately and conveniently axiomatized by using *internal events*. When both valves are blocked the room becomes stuffy.
- We minimize change a situation at a time. A valve becoming blocked and the room becoming stuffy occur in different situations.
- When the theory is used for projection of the consequences of sequences of events, the nonmonotonic reasoning is done one situation at a time.
- When an event  $e$  is not governed by an occurrence axiom, we have branching time, i.e. non-determinism. When  $Occurs(e, s)$  holds, we have linear time.

- Processes that don't settle down cannot be treated with state constraints. The buzzer is an example, the stuffy room elaborated to buzz is another.

## *Result\*, Next, Next\**

- $Result^*(e, s)$  gives the situation resulting from  $e$  after the events formalized to occur have happened. For example, if vent1 is closed, then  $Result^*(Block2, s)$  is  $Result(Getstuffy, Result(Block2, s))$ .

- When what occurs in a situation is determined, there is a next situation satisfying

$$Occurs(e, s) \rightarrow Next(s) = Result(e, s).$$

- When other actions are asserted to occur  $Next^*(s)$  is sometimes wanted.  $Result^*$  and  $Next^*$  are undefined when the system doesn't settle down as in the buzzer or buzzing stuffy room.

## A BUZZER—1

A simple buzzer consists of a relay operating a buzzer switch. When the relay isn't energized, current can flow through the switch operating the relay. When the relay operates it opens the switch, cutting off the current through the relay. The system then oscillates, i.e. buzzes.

The buzzer has only internal events—four of them. Operating and releasing the relay, and operating and releasing the switch.

## A BUZZER—2

### Effect axioms:

$$\begin{aligned} & \text{Holds}(\text{On}(R), \text{Result}(\text{Onn}(R), s)) \\ & \neg \text{Holds}(\text{On}(R), \text{Result}(\text{Offf}(R), s)) \\ & \text{Holds}(\text{On}(Sw), \text{Result}(\text{Onn}(Sw), s)) \\ & \neg \text{Holds}(\text{On}(Sw), \text{Result}(\text{Offf}(Sw), s)). \end{aligned}$$

### Occurrence axioms:

$$\begin{aligned} & \neg \text{Holds}(\text{On}(Sw), s) \wedge \text{Holds}(\text{On}(R), s) \\ & \quad \rightarrow \text{Occurs}(\text{Offf}(R), s) \\ & \text{Holds}(\text{On}(Sw), s) \wedge \neg \text{Holds}(\text{On}(R), s) \\ & \quad \rightarrow \text{Occurs}(\text{Onn}(R), s) \\ & \text{Holds}(\text{On}(R), s) \wedge \text{Holds}(\text{On}(Sw), s) \\ & \quad \rightarrow \text{Occurs}(\text{Offf}(Sw), s) \\ & \neg \text{Holds}(\text{On}(R), s) \wedge \neg \text{Holds}(\text{On}(Sw), s) \\ & \quad \rightarrow \text{Occurs}(\text{Onn}(Sw), s) \end{aligned}$$



## THE STUFFY ROOM

A room has two vents, vent1 and vent2. The vents can be opened or closed. When both vents are closed, the room is, **or becomes** stuffy. Matt Ginsberg proposed a scenario in 1988 to show that simply minimizing change gives an unintended model, namely a model in which whenever one vent is closed, the other opens, which avoids changing the stuffiness of the room.

We formalize this using the internal events of the room becoming stuffy or unstuffy.

We then elaborate the scenario to express that when the room is stuffy, Pat then opens a vent.

## THE STUFFY ROOM—simple

### Effect axioms:

$$\begin{aligned} & \textit{Holds}(\textit{Blocked1}, \textit{Result}(\textit{Block1}, s)) \\ & \textit{Holds}(\textit{Blocked2}, \textit{Result}(\textit{Block2}, s)) \\ & \neg \textit{Holds}(\textit{Blocked1}, \textit{Result}(\textit{Unblock1}, s)) \\ & \neg \textit{Holds}(\textit{Blocked2}, \textit{Result}(\textit{Unblock2}, s)) \\ & \textit{Holds}(\textit{Stuffy}, \textit{Result}(\textit{Getstuffy}, s)) \\ & \neg \textit{Holds}(\textit{Stuffy}, \textit{Result}(\textit{Ungetstuffy}, s)) \end{aligned}$$

### Occurrence axioms:

$$\begin{aligned} & \textit{Holds}(\textit{Blocked1}, s) \wedge \textit{Holds}(\textit{Blocked2}, s) \\ & \quad \wedge \neg \textit{Holds}(\textit{Stuffy}, s) \\ & \quad \rightarrow \textit{Occurs}(\textit{Getstuffy}, s) \end{aligned}$$

and

$$\begin{aligned} & (\neg \textit{Holds}(\textit{Blocked1}, s) \vee \neg \textit{Holds}(\textit{Blocked2}, s)) \\ & \quad \wedge \textit{Holds}(\textit{Stuffy}, s) \\ & \quad \rightarrow \textit{Occurs}(\textit{Ungetstuffy}, s) \end{aligned}$$

## ELABORATING THE STUFFY ROOM

The first elaboration says that when Pat finds the room stuffy he unblocks vent2. We have

$$\textit{Holds}(\textit{Stuffy}, s) \rightarrow \textit{Occurs}(\textit{Does}(\textit{Pat}, \textit{Unblock2}), s).$$

A second elaboration in which Mike finds the room stuffy when there is an unblocked vent and blocks vent2 is expressed by

$$\textit{Holds}(\textit{Unstuffy}, s) \rightarrow \textit{Occurs}(\textit{Does}(\textit{Mike}, \textit{Block2}), s)$$

(

With both elaborations, we get an oscillation; Pat blocks vent2 and Mike blocks it again.

## NONMONOTONIC REASONING IN SITCALC

- Projection is the easy case of nonmonotonic reasoning about the effects of events.
- When we project, we can circumscribe in each situation successively. It gives the same results as Shoham's chronological minimization but is much simpler technically. It doesn't suit the stolen car scenario in which a fact about the future is given.
- We minimize the predicates *Occurs*, *Prevents*, *Changes*, etc. Strictly speaking, we circumscribe  $(\lambda e)Occurs(e, s)$  and  $(\lambda f e)Prevents(f, e, s)$ ,  $(\lambda e f)Changes(e, f, s)$ .

## NONMONOTONIC REASONING—2

$$\begin{aligned} Foo' \leq_s Foo &\equiv (\forall vars)(Foo'(vars, s) \rightarrow Foo(vars, s)) \\ (Foo' <_s Foo) &\equiv (Foo' \leq_s Foo) \wedge \neg(Foo' =_s Foo), \\ Foo' =_s Foo &\equiv (\forall vars)(Foo'(vars) \equiv Foo(vars, s)), \end{aligned}$$

where  $vars$  stands for a list of the entities varied as  $s$  is minimized. Then the circumscription of  $Foo(vars)$  takes the form

$$\begin{aligned} &Axiom(Foo, vars) \wedge (\forall foo' vars')(Axiom(foo', vars') \\ &\quad \rightarrow \neg(foo' <_s Foo)). \end{aligned}$$

This spells out to

$$\begin{aligned}
& Axiom( Foo, vars) \wedge (\forall foo' vars') \\
& (Axiom(foo', vars') \wedge ((\forall vars)(foo'(vars, s) \\
& \quad \rightarrow Foo(vars, s))) \\
& \rightarrow (\forall vars)(Foo(vars, s) \equiv foo'(vars, s))).
\end{aligned}$$

Call this formula  $Circ(Axiom; Foo; vars; s)$ .

The general frame axioms are

$$\neg Changes(e, p, s) \rightarrow (Holds(p, Result(e, s)) \equiv Holds(p, s))$$

for propositional fluents and

$$\neg Changes(e, f, s) \rightarrow Value(f, Result(e, s)) = Value(f, s)$$

for general fluents.

## NARRATIVES

- A narrative is a set of situations, event, and assertions about situations and maybe assertions about events.
- A *simple narrative* consists of two sequences  $(S_1, S_2, \dots)$  and  $(E_1, E_2, \dots)$ , where  $S_{i+1} = \text{Result}(E_i, S_i)$  for each  $i$ .
- Unfortunately, real narratives, whether historical or fictional, are rarely if ever simple.

## SOME PHILOSOPHY

- Assume a deterministic world—if you like with stochastic processes and quantum processes. That doesn't rule out free will.
- Some entities, including people and chess programs, make choices.
- Making a choice involves considering the consequences of alternative actions, e.g. [using a non-deterministic theorem prover like situation calculus](#). This is minimal free will.
- Thus deterministic entities use non-deterministic theories.
- Do the philosophy as you like, but this is how AI has to be done.



## FREE WILL IN A DETERMINIST WORLD

- We can make our theory of a process more deterministic by adding occurrence axioms. We can do it if we let more or adopt rules for deciding on actions.
- Human free will may consist of using a non-deterministic theory to decide deterministically on an action.

Here's a minimal example of using a non-deterministic theory within a determinist rule.

```
Occurs(Does(John,  
    if Prefers(John, Result(Does(John, a1), s),  
        Result(Does(John, a2), s))  
    then a1  
    else a2  
    ), s).
```

- Here  $\text{Prefers}(\text{John}, s1, s2)$  is to be understood as asserting that John prefers situation  $s1$  to  $s2$ .
- Do animals, even apes, make decisions based on comparing anticipated consequences? If not, can apes be trained to do it? Chess programs do. According to Dan Dennett, some recent experiments suggest that apes sometimes consider the consequences of alternate actions.
- We envisage an extended theory of free will that treats whether an action was done freely and whether it merits blame or praise.

## CONCLUSIONS AND REMARKS

- This formalism is preliminary. It needs to be elaborated to allow concurrent and continuous events.
- Sequential processes, as treated in EPS, are worth separate formalization, because most common sense narrative and planning fit within the sequential case.
- The eventual formalism must permit elaborating a sequential theory by adding a few or many concurrent continuous processes. On the other hand, specialization to the sequential case also needs to be a simple operation on a theory allowing concurrent events.
- For the future: It would be more Newton-like to have that a process continues until something interrupts

## OTHER WORK

Events that are not actions have been previously used—least by Fangzhen Lin, Sheila McIlraith, and Javier Pi

Occurrence axioms are even more important in the treatment of concurrent events in situation calculus—to the subject of another article.

<http://www-formal.stanford.edu/jmc/freewill2.html> and these ideas to formalizing [simple deterministic free w](#)

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